

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE***In re* Application of:

Gregory T. Whiteker, et al.

Serial No.: 09/932,910

Filed: August 20, 2001

Title: Olefin Polymerization Catalysts,  
Their Production and Use

§ BEFORE THE EXAMINER:

§ James W. Pasterczyk

§ Group Art Unit No.: 1755

§ Attorney Docket No.: 1998U020A.D1.US

§ Houston, Texas

§ June 24, 2003

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450**RESPONSE UNDER 37 C.F.R. § 1.111**

Sir:

In response to the Office Action mailed February 26, 2003, Applicants offer the following claim clarifications and remarks.

1998U020.D1.US.111.06.24.03

- 1 -

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PAGE 04  
P. 01

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>Application Number</b> 09/932,910	
<b>Filing Date</b> August 20, 2001	
<b>First Named Inventor</b> Gregory T. Whitaker, et al.	
<b>Group Art Unit</b> 1758	
<b>Examiner Name</b> James W. Pasterczyk	
<b>Total Number of Pages in This Submission</b> 18	<b>Attorney Docket Number</b> 1998U020A.D1.US
<b>ENCLOSURES (check all that apply)</b>	
<input checked="" type="checkbox"/> <b>Fee Transmittal Form</b> <input type="checkbox"/> <b>Fee Attached</b> <input checked="" type="checkbox"/> <b>Amendment / Reply</b> <input type="checkbox"/> <b>After Final Affidavits/Declaration(s)</b> <input type="checkbox"/> <b>Extension of Time Request</b> <input type="checkbox"/> <b>Express Abandonment Request</b> <input type="checkbox"/> <b>Information Disclosure Statement</b> <input type="checkbox"/> <b>Certified Copy of Priority Document(s)</b> <input type="checkbox"/> <b>Response to Missing Part/Incomplete Application</b> <input type="checkbox"/> <b>Response to Missing Parts under 37 CFR 1.62 or 1.63</b>	<input type="checkbox"/> <b>Assignment Papers (for an Application)</b> <input type="checkbox"/> <b>Drawing(s)</b> <input type="checkbox"/> <b>Licensing-related Papers</b> <input type="checkbox"/> <b>Petition</b> <input type="checkbox"/> <b>Petition To Convert a Provisional Application</b> <input type="checkbox"/> <b>Power of Attorney Revocation Change of Correspondence Address</b> <input type="checkbox"/> <b>Terminal Disclaimer</b> <input type="checkbox"/> <b>Request for Refund</b> <input type="checkbox"/> <b>CO. Number of CO(s)</b>
<input type="checkbox"/> <b>After Allowance Communication to Group</b> <input type="checkbox"/> <b>Appeal Communication to Board of Appeals and Interferences</b> <input type="checkbox"/> <b>Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)</b> <input type="checkbox"/> <b>Proprietary Information</b> <input type="checkbox"/> <b>Status Letter</b> <input checked="" type="checkbox"/> <b>Additional Enclosure(s) (please identify below)</b> <b>PTO-2035 (Credit Card Payment Form)</b>	
<b>REMARKS</b>	
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>	
<b>Firm Or Individual name</b> Douglas W. Miller	<b>Registration No.</b> 38,608
<b>Signature</b> <i>Douglas W. Miller</i>	
<b>Date</b> June 24, 2003	
<b>CERTIFICATE OF FACSIMILE TRANSMISSION</b>	
I hereby certify that this paper is being facsimile transmitted to the United States Patent and Trademark Office on this date: <b>June 24, 2003</b>	
<b>Typed or printed name</b> Dorothy Williams	
<b>Signature</b> <i>Dorothy Williams</i>	<b>Date</b> June 24, 2003

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## FEE TRANSMITTAL

### for FY 2003

*Effective 01/01/2003. Patent fees are subject to annual revision.*

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (b) 110.00

**Complete if Known**

Application Number	09/932,810
Filing Date	August 20, 2001
First Named Inventor	Gregory T. Whitaker, et al.
Examiner Name	James W. Pasterczyk
Art Unit	1755
Assignee Desk No.	1998U020A.01.US

**METHOD OF PAYMENT (check all that apply)**

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Amount:

☐ The Commissioner is authorized to (check all that apply)  
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☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge (es) indicated below, except for the filing fee  
☐ No above-indicated deposit amount.

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity Fee Code (1)	Small Entity Fee Code (2)	Fee Description	Fee Paid
1001 750	3001 375	Utility filing fee	
1002 320	3002 160	Design filing fee	
1006 800	3006 380	Plant filing fee	
1004 750	3004 375	Reissue filing fee	
1005 180	3005 90	Provisional filing fee	
<b>SUBTOTAL (1) (\$)</b>			

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims  -30- =  X  =   
 Independent Claims  -3- =  X  =   
 Multiple Dependent

Large Entity Fee Code (1)	Small Entity Fee Code (2)	Fee Description	Fee Paid
1202 14	3202 7	Claims in excess of 20	
1201 84	3201 42	Independent claims in excess of 5	
1203 280	3203 140	Multiple dependent claims, if not paid	
1204 84	3204 42	Reissue independent claims over original patent	
1206 18	3206 9	Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2) (\$)</b>			

**3. ADDITIONAL FEES**

Large Entity Fee Code (1)	Small Entity Fee Code (2)	Fee Description	Fee Paid
1001 750	3001 375	Utility filing fee	
1002 320	3002 160	Design filing fee	
1006 800	3006 380	Plant filing fee	
1004 750	3004 375	Reissue filing fee	
1005 180	3005 90	Provisional filing fee	
1202 14	3202 7	Claims in excess of 20	
1201 84	3201 42	Independent claims in excess of 5	
1203 280	3203 140	Multiple dependent claims, if not paid	
1204 84	3204 42	Reissue independent claims over original patent	
1206 18	3206 9	Reissue claims in excess of 20 and over original patent	
1301 130	3301 65	Surcharge - late filing fee or oath	
1302 85	3302 42	Surcharge - late provisional filing fee or oath	
1303 130	3303 65	Non-English specification	
1312 2,520	3312 1,260	For filing a request for ex parte reexamination	
1304 950	3304 475	Requesting publication of OIR prior to examiner action	
1305 1,240	3305 620	Requesting publication of OIR after examiner action	
1351 130	3351 65	Extension for reply within first month	110.00
1352 410	3352 205	Extension for reply within second month	
1353 930	3353 465	Extension for reply within third month	
1354 1,400	3354 700	Extension for reply within fourth month	
1355 1,970	3355 985	Extension for reply within fifth month	
1401 330	3401 165	Notice of Appeal	
1402 320	3402 160	Filing a brief in support of an appeal	
1403 280	3403 140	Request for oral hearing	
1401 1,510	3401 755	Petition to institute a public use proceeding	
1402 110	3402 55	Petition to revive - unavoidable	
1403 1,300	3403 650	Petition to revive - unintentional	
1501 1,700	3501 850	Utility issue fee (or reissue)	
1502 470	3502 235	Design issue fee	
1503 690	3503 345	Plant issue fee	
1400 130	3400 65	Petitions to the Commissioner	
1507 90	3507 45	Processing fee under 37 CFR 1.17(a)	
1608 180	3608 90	Submission of information Disclosure Sheet	
2021 40	3021 20	Recording each patent assignment per property (lines number of properties)	
1809 750	3809 375	Filing a submission after final rejection (37 CFR 1.180(a))	
1810 750	3810 375	For each additional invention to be examined (37 CFR 1.180(b))	
1801 750	3801 375	Request for Continued Examination (RCE)	
1900 900	3900 450	Request for expedited examination of a design application	
<b>SUBTOTAL (3) (\$)</b>			110.00

Other fee (specify):   
 \*Reduced by Small Filing Fee Paid **SUBTOTAL (4) (\$)** 110.00

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Name (Print/Type)	Douglas W. Miller	Registration No.	35,608	Telephone	713-780-7790
Signature	<i>Douglas W. Miller</i>	Date			

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the patent which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 152 and 37 CFR 1.14. This collection is estimated to take 15 minutes to complete, including gathering, entering, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments as the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20591. DO NOT SEND FEES ON COMPLETED FORMS TO THIS ADDRESS. Send to: Commissioner for Patents, Washington, DC 20591.

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PTO-2038 (02-2003)

Approved for use through 02/28/2006. OMB 0651-0043

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## United States Patent &amp; Trademark Office

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Payment Amount \$(US Dollars): \$110.00

Signature: *Douglas W. Miller*

Date: 6/24/03

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## Request and Payment Information

Description of Request and Payment Information:

Extension for reply within fourth month

Patent Fee	Patent Maintenance Fee	Trademark Fee	Other Fee
Application No. 09/932,910	Application No.	Serial No.	USON Customer No.
Patent No.	Patent No.	Registration No.	
Attorney/Agent No. 1998U020A.D1.US		Identify or Describe Mark	

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